ICICI PRUDENTIAL MUTUAL FUND

TARAKKI KAREIN!

ANNEXURE I

KNOW YOUR CLIENT(KYC), FATCA & CRS - SELF CERTIFICATION FORM FOR INDIVIDUALS (INCLUDING SOLE PROPRIETOR)

(Please seek appropriate advice from your professional tax advisor on your residency and related FATCA and CRS guidance)

A. FATCA & CRS DETAILS (Self Certification)

PAN | | | | | | | | | | | **FOLIO** | | | | | | | | / | | |

NAME

Gender M F Other **Occupation** Service Business Others Please specify

Type of Address given at KRA ☐ Residential ☐ Business ☐ Residential/Business ☐ Registered Office **Nationality**

Date of Birth D D M M Y Y Y Y **Place of Birth** **Country of Birth**

Mobile No. | | | | | | | | | | |
(Provide if not given)

Email Address:
(Provide if not given)

Name of Father/Guardian

Name of Spouse

Permissable Documents (If PAN not provided)
☐ Passport ☐ Election ID Card ☐ Government ID Card ☐ UIDAI Card ☐ NREGA Card ☐ Others: Please specify
Document ID Number

Is your Tax Residency / Country of Birth / Citizenship / Nationality other than India? ☐ YES ☐ NO

If yes, please indicate all countries in which you are resident for tax purpose and the associated Tax ID number below:

Sr. No.	Country of Tax Residency#	Tax Identification Number ^	Identification Type (TIN or other, please specify)
1			
2			
3			

To include all countries other than India,where investor is Citizen/Resident/Green Card Holder/Tax Resident in those respective countries especially of USA.
^ Incase tax identification number is not available, kindly provide its functional equivalent$

B. ADDITIONAL KYC INFORMATION

Occupation Detials [Please tick (✓)]
◯ Private Sector Service ◯ Public Sector Service ◯ Government Service ◯ Business ◯ Professional ◯ Agriculturist
◯ Retired ◯ Housewife ◯ Student ◯ Others (Please specify) _____

Gross Annual Income [Please tick (✓)] ◯ Below 1 lac ◯ 1 - 5 lac ◯ 5 - 10 lac ◯ 10 - 25 lac ◯ >25 lac - 1 crore ◯ > 1 crore

OR

Net Worth Rs._____ as on D D M M Y Y Y Y (Not older than 1 year)

Politically Exposed Person Status* ◯ I am Politically Exposed Person (PEP) ^ ◯ I am Related to Politically Exposed Person (RPEP) ◯ Not applicable

**PEP are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g. Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.*

C. DECLARATION

I/We have read and understood the information requirements and the Terms and Conditions mentioned in this Form (read alongwith the FATCA & CRS Instructions) and hereby confirm that the information provided by me/us on this Form is true, correct and complete. I/We hereby agree and confirm to inform ICICI Prudential Asset Management Co. Ltd. for any modification to this information promptly. I/We further agree to abide by the provisions of the Scheme related documents inter alia provisions on 'Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standards (CRS) on Automatic Exchange of Information (AEOI).

PLACE: _____

DATE: D D M M Y Y Y Y

Signature of the Applicant/Guardian